



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of: Josep B. Villá et al.

Group Art Unit:

3677

Serial No.:

10 / 551,586

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail

in an envelope addressed to: Commissioner for Patents, P.O. Box

1450, Alexandria, VA 22313-1450, on the date of signature, below.

Date Filed:

Examiner:

unassigned

September 30, 2005

Title:

**Self-locking Shaft** 

Atty Docket No.:

Certificate of Mailing by First Class Mail

**B31359US** 

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Dear Commissioner:

## TRANSMITTTAL LETTER

Applicants Josep B. Villá, et al. transmit herewith the following papers for filing in association with the above-referenced U.S. patent application:

- Request for Correction in Official Filing Receipt; X
- X Copy of the Official Filing Receipt, with required corrections indicated thereon;
- Copy of the Declaration as filed on February 22, 2006; and  $\underline{\mathbf{X}}$
- X Return postcard.

The Commissioner is authorized to charge Deposit Account No. 01-2511 for any underpayment of the fees required in association with the instant request.

Date: JUNE 9. 2006

Respectfully submitted,

Raymond<sup>1</sup>R. Ferrera, Esq.

USPTO Registration No. 47,559

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ATTORNEY FOR APPLICANT

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## REQUEST FOR CORRECTION IN OFFICIAL FILING RECEIPT

Applicants hereby request correction of the Official Filing Receipt enclosed herewith. In particular, in the field for Applicants, the country listed for the fourth Applicant is incorrect. The country listed on the Receipt is "FRANCE" instead of "SPAIN." Also, in the title of the application, the Receipt indicates "Self-locking shalt" instead of "Self-locking shaft." Therefore, Applicants request the Office issue a Corrected Official Filing Receipt showing the correct country listed for the fourth Applicant and the correct spelling of the title as indicated on the attached, marked-up copy of the Receipt. Also attached is a copy of the Declaration as filed, which indicates the correct country and title.

Since the incorrect recitation of the country of the fourth Applicant and title are believed to be the fault of the Office rather than the Applicant, it is respectfully submitted that no fees should be charged to Applicant for the requested correction. However, the Office is authorized to debit deposit account no. 01-2511 for any fees that may in fact be due in furtherance of the instant request.

Date: June 9,2006

Respectfully submitted,

Raymond R. Ferrera, Esq.

USPTO Registration No. 47,559

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ATTORNEY FOR APPLICANTS



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS FO. Box 1450 Alexandria Viginia 22113,1450

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/551,586	02/22/2006	3677	1030	B31359US	2	15	1

28805 ARNOLD & FERRERA, L.L.P. 2401 FOUNTAIN VIEW DRIVE SUITE 630 HOUSTON, TX 77057



CONFIRMATION NO. 2863
FILING RECEIPT
\*OC000000018918887\*

Date Mailed: 05/24/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

Josep Barrios Villa, Vacarisses, SPAIN;
Jaume Terrades Prat, Barcelona, SPAIN;
Revilla Sanchez, Sabadell, SPAIN;
David Alonso Gras, Sant Cugat del Valles, FRANCE;
David Pardo Aloy, Bellaterra, SPAIN;
Jordi Jornet Vidal, Terrassa, SPAIN;

Power of Attorney: The patent practitioners associated with Customer Number 28805.

#### Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP04/03597 04/05/2004

#### Foreign Applications

GERMANY 103 15 234.2 04/03/2003

If Required, Foreign Filing License Granted: 05/23/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/551,586

Projected Publication Date: 08/31/2006

Non-Publication Request: No



Early Publication Request: No

Title

Self-locking shalt

**Preliminary Class** 

411

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China; Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

#### GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted

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#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



PTO/SB/01 (04-05)

Approved for use through 07/31/2005. OMB 0851-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Papervork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it contains a velid OMB control number. Attorney Docket B31359US DECLARATION FOR UTILITY OR Number First Named Inventor Josep B. Villa DESIGN COMPLETE IF KNOWN PATENT APPLICATION (37 CFR 1.63) Application Number นกลรรเฐกอด Filing Date Declaration Declaration Submitted after initial OR Submitted Art Unit unassigned Filing (surcharge With Initial (37 ČFR 1.16 (e)) Examiner Name Filing unassigned required) I hereby declare that: Each inventor's residence, mailing address, and citizenship are as stated below next to their name. I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled: SELF-LOCKING SHAFT (Title of the Invention) the specification of which is attached hereto OR as United States Application Number or PCT International was filed on (MM/DD/YYYY) (if applicable). and was amended on (MM/DD/YYYY) Application Number I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application. I hereby claim foreign priority benefits under 35 U.S.C. 119(e)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed. Certifled Copy Attached? Priority Foreign Filing Date Prior Foreign Application Not Claimed YEŞ (MM/DD/YYYY) Country Number(s) APR. 5, 2004 PCT PCT/EP2004/003597 APR. 3, 2003 DΕ 10315234.2

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto. This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.53. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 (and by the USPTO. Time will vary depending upon the individual minutes to complete, including gathering, preparing, and submitting the complete applications for reducing this burden, should be sent to the Chief Information case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information case. Any comments on the amount of time you require to complete the process. Any comments on the amount of time you require to complete the process of the complete the comple [Page 1 of 2] FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance completing the form, call 1-800-PTO-9199 and select option 2.

# PTO/S8/01 (04-05) Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## DECLARATION — Utility or Design Patent Application

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Given Name (first and middle [i	~	<u> </u>	auuon i	Family h	Vame of	Surna	me
	, 4.1,7,7			VILLA			
JOSEP BARRIOS				VILLA			Tal
Inventor's Signature	H duis (						Date ·
	Course (						22.09.05
Residence: City	State		Coun	lry		Citize	ship
Vacarisses		•	SPAIN			SPAIN	
Mailing Address C/Bages 3	J						
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Vaceriases				08233			SPAIN
NAME OF SECOND INVENTO	DR:			A petition	has bee	n filed	for this unsigned inventor
Given Name (first and middle)	(FANYI)			Family N	lame or	Surna	ne
JAUME TERRADES				PRAT	•		
Inventor's Signature	HUMA			· · · · · · · · · · · · · · · · · · ·			Date . 22.07.05
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Mailing Address C/Rosselló 492, 2° 2a							
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Barcelona	08025			SPAIN			
Additional inventors or a legal representative are being named on the 2 supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.						t attached hereto.	

PTO/SB/02A (09-04)

Country

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tion Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OM8 control number.

#### ADDITIONAL INVENTOR(S) Supplemental Sheet DECLARATION A petition has been filed for this unsigned inventor Name of Additional Joint Inventor, if any: Family Name or Surname Given Name (first and middle (if any)) SANCHEZ REVILLA 09/2005 Inventor's Date Signature SPAIN SPAIN Sabadell Cltizenship Country State Residence: City C/Serra Camaro 18 Mailing Address 08026 SPAIN Sabadell Zip Country State City Name of Additional Joint Inventor, if any: A pelition has been filed for this unsigned inventor Family Name or Surname Given Name (first and middle (if any)) GRAS DAVID ALONSO 21/9/2005 Inventor's Date Signature SPAIN SPAIN Sant Cugat del Vallès Citizenship State Country Residence: City Av. Rius I Taulot 34, 3° 3e Mailing Address SPAIN Sant Cugat del Vallés 08190 Country City Name of Additional Joint Inventor, if any: A petition has been filed for this unsigned inventor Family Name or Surname Given Name (first and middle (if any)) ALOY DAVID PARDO . 2) 1001 12005 inventor's Datě Signature SPAIN SFAIN Citizenship Country State Residence: City Av. Bertomeu 27 Mailing Address 08193 SPAIN Bellatarra

City This collection of information is required by 35 U.S.C. 115 and 37 GFR 1.83. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 GFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOY SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Ζip

State

PTO/S8/02A (09-04)

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DECLARATION		Supplemental Sheet Page 2 of 2					
Name of Additional Joint Inventor, if any:		A petition h	as been filed for th	is unsigned i	nventor		
Given Name (first and middle (if any))	- <u></u>	Family Name or Surname VIDAL					
IORDI JORNEY							
Inventor's Signature			Date	Date 17/09/05			
Телгевея	State	SPAIN Cou		SPAIN Citizer	ıshlp		
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Mailing Address			<del></del>	<del>-</del>			
Terrassa City	Stata		08224 Zlp	SPAIN Count	ry		
Name of Additional Joint Inventor, if any		A petition has been filed for this unsigned inventor					
Given Name (first and middle (if any))		Family Name of Strname					
Inventor's Signature				Date_			
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Residence: City	State		Country		Citizenship		
Mailing Address							
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Name of Additional Joint Inventor, if any		A petition has been filed for this unsigned inventor					
Given Name (first and middle (if any))		Family Name or Surname					
Inventor's Signature				Date	<u> </u>		
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